

The YODA Project
Research Proposal Due Diligence Assessment

| Part 1: General Information | |
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| YODA Project (Protocol) ID: | 2019-3840 |
| Date: | 5 April 2019 |
| Product Name: | Ibrutinib |
| Therapeutic Area: | Oncology |
| Product Class: | kinase inhibitors |
| Condition(s) Studied: | Mantle Cell Lymphoma/Recurrent Mature B-cell Neoplasms/Chronic Lymphocytic Leukemia/Chronic Lymphocytic Leukemia With 17p Deletion/Relapsed or Refractory Chronic Lymphocytic Leukemia Marginal Zone Lymphoma/B-cell Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma/Diffuse Large Cell B-lymphoma/Waldenström's Macroglobulinemia |
| Protocol Number(s) and Title(s): | NCT01722487/ PCYC-1115-CA -Randomized, Multicenter, Open-label, Phase 3 Study of the Bruton's Tyrosine Kinase Inhibitor Ibrutinib Versus Chlorambucil in Patients 65 Years or Older With Treatment-naive Chronic Lymphocytic Leukemia or Small Lymphocytic Lymphoma NCT01236391/ PCYC-1104-CA -Multicenter Phase 2 Study of Bruton's Tyrosine Kinase (Btk) Inhibitor, PCI-32765, in Relapsed or Refractory Mantle Cell Lymphoma NCT01105247/ PCYC-1102-CA -A Phase 1b/2 Fixed-dose Study of Bruton's Tyrosine Kinase (Btk) Inhibitor, PCI-32765, in Chronic Lymphocytic Leukemia |
| Part 2: Data Availability | |
| Data Holder has authority to provide clinical trial data or development partner has agreed to share clinical trial data. | Yes |
| Comments: | |
| Data Holder has sharable electronic clinical trial data or data can be converted to electronic format. | Yes* |
| Comments: | *scans are not available |
| De-identification and redaction of clinical trial data in accordance with current HIPAA and EU criteria allows protection of participant privacy and confidentiality. | Yes |
| Comments: | |
| The product and relevant indication studied has either been approved by regulators in the US and EU, or terminated from development. | Yes |
| Comments: | |
| Data Holder has completed the clinical trial and trial has been completed for a period of at least 18 months (or results published in peer-reviewed biomedical literature). | Yes |
| Comments: | |
| Part 3: Data Availability Summary | |

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| Based on the responses to the above Data Availability questions, the requested clinical trial data are available for a data sharing request. | Yes |
| Part 4: Proposal Review | |
| Question: | Response: |
| Summary-level CSR data is appropriate for the proposed analysis. | No |
| Participant-level data is appropriate for the proposed analysis. | Yes |
| A similar analysis is underway or completed/pending disclosure by Janssen. | No |
| Comments: | |