

**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**1. Identifying Information**

Date: **17 Nov 2014**

Name (include degree(s)): **Kay Sauder**  
Primary Employer: **University of Michigan**

Title of Project: **Can Machine Learning Algorithms using General Labs Predict Biologic Remission for Patients in the SONIC trial?**

Are you the Principal Investigator (PI)?  Yes  No  
If not, who is the PI? **Peter Higgins**

Data Holder from which data are requested:  Medtronic  
 Janssen / Johnson & Johnson

**2. Financial Support for the Proposed Research**

Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services **at any time** for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.).

- Government entity      *Detail:*
- Commercial entity      *Detail:*
- Private foundation      *Detail:*
- Academic institution      *Detail:*
- Other      *Detail:*

**3. Intellectual Property**

Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research?  Yes (*explain*): **Higgins, Waljee, and Zhu currently are inventors of**

**the following patent held by the Regents of the University of Michigan:  
Algorithms to predict clinical response, adherence, and shunting with thiopurines**

**Assignee: The Regents of the University of Michigan (Ann Arbor, MI)**

**Family ID: 40028417**

**Appl. No.: 11/804,366**

**Filed: May 18, 2007**

No

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### 4. Relevant Financial Activities Outside the Proposed Research

Check only the statement that applies:

- I (and/or my spouse or domestic partner) have no financial relationships to disclose.  
 I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). *Provide details in the table below.*

The table asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of influencing, your proposed research.

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments, Detail
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**5. Relationships Not Covered Above**


Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):  
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

**6. Signature Attesting to the Above**

By signing below, you attest that the information provided above is correct.

Signature:  Date: 17 NOV 2014  
Print Name: **Kay Sauder**

*Please keep a copy of this document for your records.*

**7. Definitions**

**Entity:** Government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** Monies from an entity, generally, but not always, paid to your organization.

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.

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Date: **18 Nov 2014**

Name (include degree(s)): **Peter Higgins**  
Primary Employer: **University of Michigan**

Title of Project: **Can Machine Learning Algorithms using General Labs Predict Biologic Remission for Patients in the SONIC trial?**

Are you the Principal Investigator (PI)?  Yes  No  
If not, who is the PI?

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**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**5. Relationships Not Covered Above**

Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):  
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

**6. Signature Attesting to the Above**

By signing below, you attest that the information provided above is correct.

Signature:  Date: 18 Nov 2014  
Print Name: Peter Higgins

*Please keep a copy of this document for your records.*

**7. Definitions**

**Entity:** Government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** Monies from an entity, generally, but not always, paid to your organization.

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.

**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**1. Identifying Information**

Date: **02 Dec 2014**

Name (include degree(s)): **Akbar Waljee**  
Primary Employer: **University of Michigan**

Title of Project: **Can Machine Learning Algorithms using General Labs Predict Biologic Remission for Patients in the SONIC trial?**

Are you the Principal Investigator (PI)? Yes No  
If not, who is the PI? **Peter Higgins**

Data Holder from which data are requested: Medtronic  
Janssen / Johnson & Johnson

**2. Financial Support for the Proposed Research**

Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services **at any time** for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.).

- Government entity      *Detail:*
- Commercial entity      *Detail:*
- Private foundation      *Detail:*
- Academic institution      *Detail:*
- Other      *Detail:*

**3. Intellectual Property**

Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research? Yes (*explain*): **Higgins, Waljee, and Zhu currently are inventors of**

**the following patent held by the Regents of the University of Michigan:  
Algorithms to predict clinical response, adherence, and shunting with thiopurines  
Assignee: The Regents of the University of Michigan (Ann Arbor, MI)**

**Family ID: 40028417**

**Appl. No.: 11/804,366**

**Filed: May 18, 2007**

No

## Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

### 4. Relevant Financial Activities Outside the Proposed Research

Check only the statement that applies:

- I (and/or my spouse or domestic partner) have no financial relationships to disclose.  
 I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). *Provide details in the table below.*

The table asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of influencing, your proposed research.

Place a check in the appropriate boxes to indicate any financial or other relationships (regardless of amount of compensation). Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf during the 36 months prior to this disclosure. This should include all monies from sources with relevance to the proposed work. If there is a question, it is better to disclose a relationship than not to do so.

Use one line for each entity. If additional lines are needed, attach a separate sheet. **Definitions of the terms used can be found in Section 7.**

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments, Detail
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**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**5. Relationships Not Covered Above**

Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):  
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

**6. Signature Attesting to the Above**

By signing below, you attest that the information provided above is correct.

Signature:  Date: 12/2/14  
Print Name: Akbar Waljee

*Please keep a copy of this document for your records.*

**7. Definitions**

**Entity:** Government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** Monies from an entity, generally, but not always, paid to your organization.

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.

**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**1. Identifying Information**

Date: **18 Nov 2014**

Name (include degree(s)): **Yiwei Zhang**  
Primary Employer: **University of Michigan**

Title of Project: **Can Machine Learning Algorithms using General Labs Predict Biologic Remission for Patients in the SONIC trial?**

Are you the Principal Investigator (PI)? Yes No  
If not, who is the PI? **Peter Higgins**

Data Holder from which data are requested: Medtronic  
Janssen / Johnson & Johnson

**2. Financial Support for the Proposed Research**

Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services **at any time** for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.).

- Government entity      *Detail:*
- Commercial entity      *Detail:*
- Private foundation      *Detail:*
- Academic institution      *Detail:*
- Other      *Detail:*

**3. Intellectual Property**

Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research? Yes (*explain*): **Higgins, Waljee, and Zhu currently are inventors of**

**the following patent held by the Regents of the University of Michigan:  
Algorithms to predict clinical response, adherence, and shunting with thiopurines**

**Assignee: The Regents of the University of Michigan (Ann Arbor, MI)**

**Family ID: 40028417**

**Appl. No.: 11/804,366**

**Filed: May 18, 2007**

No

## Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

### 4. Relevant Financial Activities Outside the Proposed Research

Check only the statement that applies:

- I (and/or my spouse or domestic partner) have no financial relationships to disclose.  
 I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). *Provide details in the table below.*

The table asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of influencing, your proposed research.

Place a check in the appropriate boxes to indicate any financial or other relationships (regardless of amount of compensation). Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf during the 36 months prior to this disclosure. This should include all monies from sources with relevance to the proposed work. If there is a question, it is better to disclose a relationship than not to do so.

Use one line for each entity. If additional lines are needed, attach a separate sheet. **Definitions of the terms used can be found in Section 7.**

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments, Detail
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**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**5. Relationships Not Covered Above**

Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):  
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

**6. Signature Attesting to the Above**

By signing below, you attest that the information provided above is correct.

Signature: Yiwei Zhang Date: 11/18/2014

**Print Name: Yiwei Zhang**

*Please keep a copy of this document for your records.*

**7. Definitions**

**Entity:** Government agency, foundation, commercial sponsor, academic institution, etc.

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**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.

**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**1. Identifying Information**

Date: **02 Dec 2014**

Name (include degree(s)): **Ji Zhu**  
Primary Employer: **University of Michigan**

Title of Project: **Can Machine Learning Algorithms using General Labs Predict Biologic Remission for Patients in the SONIC trial?**

Are you the Principal Investigator (PI)?  Yes  No  
If not, who is the PI? **Peter Higgins**

Data Holder from which data are requested:  Medtronic  
 Janssen / Johnson & Johnson

**2. Financial Support for the Proposed Research**

Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services **at any time** for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.).

- Government entity      *Detail:*
- Commercial entity      *Detail:*
- Private foundation      *Detail:*
- Academic institution      *Detail:*
- Other      *Detail:*

**3. Intellectual Property**

Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research?  Yes (*explain*): **Higgins, Waljee, and Zhu currently are inventors of**

**the following patent held by the Regents of the University of Michigan:  
Algorithms to predict clinical response, adherence, and shunting with thiopurines**

**Assignee: The Regents of the University of Michigan (Ann Arbor, MI)**

**Family ID: 40028417**

**Appl. No.: 11/804,366**

**Filed: May 18, 2007**

No

## Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

### 4. Relevant Financial Activities Outside the Proposed Research

Check only the statement that applies:

- I (and/or my spouse or domestic partner) have no financial relationships to disclose.  
 I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). *Provide details in the table below.*

The table asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of influencing, your proposed research.

Place a check in the appropriate boxes to indicate any financial or other relationships (regardless of amount of compensation). Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf during the 36 months prior to this disclosure. This should include all monies from sources with relevance to the proposed work. If there is a question, it is better to disclose a relationship than not to do so.

Use one line for each entity. If additional lines are needed, attach a separate sheet. **Definitions of the terms used can be found in Section 7.**

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments, Detail
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**Yale University Open Data Access (YODA) Project  
Conflict of Interest Disclosure Form for Data Requestors**

**5. Relationships Not Covered Above**


Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):  
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

**6. Signature Attesting to the Above**

By signing below, you attest that the information provided above is correct.

Signature:  \_\_\_\_\_ Date: 12/2/2014

**Print Name: Ji Zhu**

*Please keep a copy of this document for your records.*

**7. Definitions**

**Entity:** Government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** Monies from an entity, generally, but not always, paid to your organization.

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.