

Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

1. Identifying Information

Date: **September 10, 2019**

Name (include degree(s)): **Todd F Alamin, MD**
Primary Employer: **Stanford University**
Email Address: **tfalamin@stanford.edu**

Title of Project: **LimiFlex Clinical Trial for the Treatment of Degenerative Spondylolisthesis With Spinal Stenosis**

Are you the Principal Investigator (PI)? Yes No
If not, who is the PI? **Louis C Fielding**

2. Financial Support for the Proposed Research

Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services **at any time** for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.).
Please note: you do not need to include your primary employer.

- | | |
|---|---|
| <input type="checkbox"/> Government entity | <i>Detail:</i> |
| <input checked="" type="checkbox"/> Commercial entity | <i>Detail:</i> Empirical Spine, Inc. |
| <input type="checkbox"/> Private foundation | <i>Detail:</i> |
| <input type="checkbox"/> Academic institution | <i>Detail:</i> |
| <input type="checkbox"/> Other | <i>Detail:</i> |

3. Intellectual Property

Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research? Yes (*explain*): **The LimiFlex investigational device is subject to issued and pending patents on which I am a named inventor, and are assigned or licensed to my employer, Empirical Spine.**
 No

4. Relevant Financial Activities Outside the Proposed Research

Check only the statement that applies:

- I (and/or my spouse or domestic partner) have no financial relationships to disclose.
 I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). *Provide details on the following page.*

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5. Relationships Not Covered Above

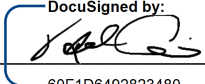
Are there other relationships or activities that others could perceive to have influenced, or that give the appearance of potentially influencing, the research for which you are submitting this form?

- Yes, the following relationships/conditions/circumstances are present (*explain*):
 No other relationships/conditions/circumstances exist that present a potential conflict of interest

On occasion, the YODA Project may ask for additional information about reported relationships.

6. Signature Attesting to the Above

By signing below, you attest that the information provided above is correct.

Signature:  _____ Date: 9/11/2019
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Print Name: Todd F Alamin, MD

Please keep a copy of this document for your records.

7. Definitions

Entity: Government agency, foundation, commercial sponsor, academic institution, etc.

Grant: Monies from an entity, generally, but not always, paid to your organization.

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

Non-financial support: Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.