# Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

## 1. Identifying Information Date: 11/19/19 Name (include degree(s)): Tianxi Cai Primary Employer: Harvard University Email Address: tcai@hsph.harvard.edu Title of Project: Evidence-generation for biologics in pediatric studies Are you the Principal Investigator (PI)? Tyes No. If not, who is the PI? Dr. Florence Bourgeois 2. Financial Support for the Proposed Research Place a check in the appropriate box(es) to indicate third party sources from which you or your institution will receive payment or services at any time for any aspect of the proposed research (including but not limited to study design, manuscript preparation, statistical analysis, etc.). **Please note**: you do not need to include your primary employer. Government entity Detail: Commercial entity Detail: Private foundation Detail: Academic institution Detail: Other Detail: 3. Intellectual Property Do you have any patents, whether planned, pending or issued, broadly relevant to the proposed research? Yes (explain): $\boxtimes$ No 4. Relevant Financial Activities Outside the Proposed Research Check only the statement that applies: I (and/or my spouse or domestic partner) have no financial relationships to disclose. I (and/or my spouse or domestic partner) have a financial relationship or affiliation with the following commercial interest(s). Provide details on the following page.

### Yale University Open Data Access (YODA) Project Conflict of Interest Disclosure Form for Data Requestors

If you (and/or your spouse or domestic partner) have a financial relationship or affiliation with commercial interest(s), please provide details in the table below.

The table asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of influencing, your proposed research.

Place a check in the appropriate boxes to indicate any financial or other relationships (regardless of amount of compensation). Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf during the 36 months prior to this disclosure. This should include all monies from sources with relevance to the proposed work. If there is a question, it is better to disclose a relationship than not to do so.

Use one line for each entity. If additional lines are needed, attach a separate sheet. **Definitions** of the terms used can be found in Section 7.

			Non-		
		Personal	Financial		
Name of Entity	Grant?	Fees?	Support?	Other?	Comments, Detail
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#### 5. Relationships Not Covered Above

Are there other relationships or activities that others could perceive give the appearance of potentially influencing, the research for which form?							
☐ Yes, the following relationships/conditions/circumstances are present ( <i>explain</i> ): ☐ No other relationships/conditions/circumstances exist that present a potential conflict of interest							
On occasion, the YODA Project may ask for additional information a	about rep	ported relationships.					
6. Signature Attesting to the Above							
By signing below, you attest that the information provided above is correct.							
Signature:	Date: _	11/19/2019					
Print Name: Tianxi Cai							
Please keep a copy of this document for your records.							

#### 7. Definitions

Entity: Government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** Monies from an entity, generally, but not always, paid to your organization.

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speaker bureaus, expert testimony, employment or other affiliations.

**Non-financial support:** Examples include reagents or equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the categories of Grant, Personal Fees and Non-financial Support.