## The YODA Project Research Proposal Due Diligence Assessment

| Part 1: General Information                                                                                                                             |                                                                                                                                                                                                                                                                                              |                                      |
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| YODA Project (Protocol) ID:                                                                                                                             |                                                                                                                                                                                                                                                                                              |                                      |
| Date:                                                                                                                                                   | 9 December 2014                                                                                                                                                                                                                                                                              |                                      |
| Product Name:                                                                                                                                           | Risperidone                                                                                                                                                                                                                                                                                  |                                      |
| Therapeutic Area:                                                                                                                                       | Neuroscience                                                                                                                                                                                                                                                                                 |                                      |
| Product Class:                                                                                                                                          | Atypical antipsychotics                                                                                                                                                                                                                                                                      |                                      |
| Condition(s) Studied:                                                                                                                                   | Conduct Disorder, Oppositional Defiant Disorder, Disruptive Behavior Disorder                                                                                                                                                                                                                |                                      |
| Protocol Number(s) and<br>Title(s):                                                                                                                     | NCT00250354 / RIS-CAN-19<br>The Safety And Efficacy Of Risperidone Versus P<br>Disorder In Mild, Moderate And Borderline Mer<br>Children Aged 5 To 12 Years<br>NCT00266552 / RIS-USA-93<br>The Safety And Efficacy Of Risperidone Versus P<br>Disorder and Other Disruptive Behavior Disorde | ntally Retarded<br>lacebo In Conduct |
|                                                                                                                                                         | And Borderline Mentally Retarded Children Age                                                                                                                                                                                                                                                |                                      |
| Part 2: Data Availability                                                                                                                               |                                                                                                                                                                                                                                                                                              |                                      |
|                                                                                                                                                         | Question:                                                                                                                                                                                                                                                                                    | Response:                            |
| Data Holder has authority to provide clinical trial data or development                                                                                 |                                                                                                                                                                                                                                                                                              | Yes                                  |
| partner has agreed to share clinical trial data.                                                                                                        |                                                                                                                                                                                                                                                                                              |                                      |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              |                                      |
| Data Holder has sharable electronic clinical trial data or data can be converted                                                                        |                                                                                                                                                                                                                                                                                              | Yes                                  |
| to electronic format.                                                                                                                                   |                                                                                                                                                                                                                                                                                              |                                      |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              | Vee                                  |
| De-identification and redaction of clinical trial data in accordance with current<br>HIPAA and EU criteria allows protection of participant privacy and |                                                                                                                                                                                                                                                                                              | Yes                                  |
| confidentiality.                                                                                                                                        |                                                                                                                                                                                                                                                                                              |                                      |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              |                                      |
| The product and relevant indication studied has either been approved by                                                                                 |                                                                                                                                                                                                                                                                                              | Yes                                  |
| regulators in the US and EU, or terminated from development.                                                                                            |                                                                                                                                                                                                                                                                                              |                                      |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              |                                      |
| Data Holder has completed the clinical trial and trial has been completed for a Yes                                                                     |                                                                                                                                                                                                                                                                                              |                                      |
| period of at least 18 months (or results published in peer-reviewed biomedical literature).                                                             |                                                                                                                                                                                                                                                                                              |                                      |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              |                                      |
|                                                                                                                                                         | Part 3: Data Availability Summary                                                                                                                                                                                                                                                            |                                      |
|                                                                                                                                                         |                                                                                                                                                                                                                                                                                              | N                                    |
| -                                                                                                                                                       | above Data Availability questions, the<br>n be made available for data sharing.                                                                                                                                                                                                              | Yes                                  |
|                                                                                                                                                         | Part 4: Proposal Review                                                                                                                                                                                                                                                                      |                                      |
|                                                                                                                                                         |                                                                                                                                                                                                                                                                                              |                                      |
| Question:<br>Summary-level CSR data is appropriate for the proposed analysis.                                                                           |                                                                                                                                                                                                                                                                                              | Response:<br>No                      |
| Participant-level data is appropriate for the proposed analysis.                                                                                        |                                                                                                                                                                                                                                                                                              | Yes                                  |
| A similar analysis is underway or completed/pending disclosure by Janssen.                                                                              |                                                                                                                                                                                                                                                                                              | No                                   |
| Comments: N/A                                                                                                                                           |                                                                                                                                                                                                                                                                                              |                                      |
| I ·                                                                                                                                                     |                                                                                                                                                                                                                                                                                              |                                      |