The YODA Project Research Proposal Due Diligence Assessment

| Part 1: General Information | | |
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| YODA Project (Protocol) ID: | 2019-4001 | |
| Date: | 4 December 2019 | |
| Product Name: | HEALOS and Leopard Cage | |
| Therapeutic Area: | Spine | |
| Product Class: | Implant | |
| Condition(s) Studied: | Degenerative Disc Disease | |
| Protocol Number(s) and Title(s): | NCT00316121 - 05-HEALOS-01- A Prospective, Multicenter, Randomized Study Comparing the Use of HEALOS® to Autograft in a Transforaminal Lumbar Interbody Fusion (TLIF) Approach | |
| Part 2: Data Availability | | |
| Data Holder has authority to provide clinical trial data or development partner has agreed to share clinical trial data. Comments: | | Yes |
| Data Holder has sharable electronic clinical trial data or data can be converted to electronic format. Comments: | | Yes |
| De-identification and redaction of clinical trial data in accordance with current HIPAA and EU criteria allows protection of participant privacy and confidentiality. Comments: | | |
| The product and relevant indication studied has either been approved by regulators in the US and EU, or terminated from development. Comments: | | Yes |
| Data Holder has completed the clinical trial and trial has been completed for a period of at least 18 months (or results published in peer-reviewed biomedical literature). | | Yes |
| Comments: The data that supports the CSR (Dec 2013 primary analysis primary analysis) is consistent with what is published on ClinicalTrials.gov. | | |
| Part 3: Data Availability Summary | | |
| Based on the responses to the above Data Availability questions, the requested clinical trial data are available for a data sharing request. | | Yes |
| Part 4: Proposal Review | | |
| Question: | | Response: |
| Summary-level CSR data is appropriate for the proposed analysis. | | Yes |
| Participant-level data is appropriate for the proposed analysis. A similar analysis is underway or completed/pending disclosure by Janssen. | | Yes No |
| Comments: | | |